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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/024,066	12/18/2001	Loren J. Field	7037-450

## CONFIRMATION NO. 3713

## FORMALITIES LETTER



\*OC00000007471260\*

Kenneth A. Gandy  
Woodard, Emhardt, Naughton, Moriarty & McNett  
Bank One Center/Tower, Suite 3700  
111 Monument Circle  
Indianapolis, IN 46204-5137

Date Mailed: 02/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

04/24/2002 HN00R1 00000049 10024066

01 FC:105

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in this Submission		Application Number Filing Date First Named Inventor Group Art Unit Examiner Name	10/024,066 December 18, 2001 Field, Loren J. et al. 1632 
		Attorney Docket Number	7037-450

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Documents  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> To Convert a Provisional Application  <input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Additional Enclosure (please identify below)  <input checked="" type="checkbox"/> Return Receipt Postcard  Sequence Listing
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Kenneth A. Gandy Woodard, Emhardt, Naughton, Moriarty & McNett		
Signature			
Date	April 15, 2002		

## Certificate of Mailing

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Signature		Date	April 15, 2002

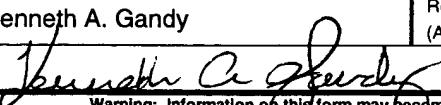
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<b>1 PRE TRANSMITTAL FOR FY 2002</b> <small>APR 23 2002</small> <small>Patent fees are subject to annual revision.</small>				<b>Complete If Known</b>			
<b>Method of Payment</b> <input type="checkbox"/> (\$170.00)		<b>Application Number</b> <b>10/024,066</b>					
<b>Filing Date</b> <b>December 18, 2001</b>		<b>First Named Inventor</b> <b>Fl Id, L r n J. t al.</b>					
<b>Group Art Unit</b> <b>1632</b>		<b>Examiner Name</b>					
		<b>Attorney Docket Number</b> <b>7037-450</b>					
<b>METHOD OF PAYMENT</b>				<b>FEE CALCULATION (continued)</b>			
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: <b>23-3030</b>				<b>3. ADDITIONAL FEES</b>			
<b>Deposit Account Number</b> <b>Woodard, Emhardt, Naughton, Moriarty &amp; McNett</b>				<b>Large Fee Code</b> <b>Entity Fee (\$)</b> <b>105</b> <b>130</b>	<b>Small Fee Code</b> <b>Entity Fee (\$)</b> <b>205</b> <b>65</b>	<b>Fee Description</b> Surcharge – late filing fee or oath	<b>Fee Paid</b> <b>130.00</b>
<input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17				<b>127</b> <b>50</b>	<b>227</b> <b>25</b>	Surcharge – late provisional filing fee or cover sheet.	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.				<b>139</b> <b>130</b>	<b>139</b> <b>130</b>	Non-English specification	
2. <input checked="" type="checkbox"/> <b>Payment Enclosed:</b> <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				<b>147</b> <b>2,520</b>	<b>147</b> <b>2,520</b>	For filing a request for ex parte reexamination	
				<b>112</b> <b>920*</b>	<b>112</b> <b>920*</b>	Requesting publication of SIR prior to Examiner	
				<b>113</b> <b>1,840*</b>	<b>113</b> <b>1,840*</b>	Requesting publication of SIR after Examiner action	
				<b>115</b> <b>110</b>	<b>215</b> <b>55</b>	Extension for reply within first month	
				<b>116</b> <b>400</b>	<b>216</b> <b>200</b>	Extension for reply within second month	
				<b>117</b> <b>920</b>	<b>217</b> <b>460</b>	Extension for reply within third month	
				<b>118</b> <b>1,440</b>	<b>218</b> <b>720</b>	Extension for reply within fourth month	
				<b>128</b> <b>1,960</b>	<b>228</b> <b>980</b>	Extension for reply within fifth month	
				<b>119</b> <b>320</b>	<b>219</b> <b>160</b>	Notice of Appeal	
				<b>120</b> <b>320</b>	<b>220</b> <b>160</b>	Filing a brief in support of an appeal	
				<b>121</b> <b>280</b>	<b>221</b> <b>140</b>	Request for oral hearing	
				<b>138</b> <b>1,510</b>	<b>138</b> <b>1,510</b>	Petition to institute a public use proceeding	
				<b>140</b> <b>110</b>	<b>240</b> <b>55</b>	Petition to revive – unavoidable	
				<b>141</b> <b>1,280</b>	<b>241</b> <b>640</b>	Petition to revive – unintentional	
				<b>142</b> <b>1,280</b>	<b>242</b> <b>640</b>	Utility issue fee (or reissue)	
				<b>143</b> <b>460</b>	<b>243</b> <b>230</b>	Design issue fee	
				<b>144</b> <b>620</b>	<b>244</b> <b>310</b>	Plant issue fee	
				<b>122</b> <b>130</b>	<b>122</b> <b>130</b>	Petitions to the Commissioner	
				<b>123</b> <b>50</b>	<b>123</b> <b>50</b>	Petitions related to provisional applications	
				<b>126</b> <b>180</b>	<b>126</b> <b>180</b>	Submission of Information Disclosure Stmt	
				<b>581</b> <b>40</b>	<b>581</b> <b>40</b>	Recording each patent assignment per property (times number of properties)	<b>40.00</b>
				<b>146</b> <b>740</b>	<b>246</b> <b>370</b>	Filing a submission after final rejection (37 CFR 1.129(a))	
				<b>149</b> <b>740</b>	<b>249</b> <b>370</b>	For each additional invention to be examined (37 CFR 1.129(b))	
				<b>179</b> <b>740</b>	<b>279</b> <b>370</b>	Request for Continued Examination (RCE)	
				<b>169</b> <b>900</b>	<b>169</b> <b>900</b>	Request for expedited examination of a design application	
				Other Fee (specify)			
				* Reduced by Basic Filing Fee Paid		<b>SUBTOTAL (3) (\$ 170.00)</b>	
<small>**or number previously paid, if greater; For Reissues, see above</small>							

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Kenneth A. Gandy	Registration No. (Attorney/Agent)	33,386	Telephone	(317) 634-3456
Signature				Date	April 15, 2002

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